

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/521,286  
Filing Date: January 14, 2005  
Applicant: Ronald L. Steen et al.  
Group Art Unit: To Be Assigned  
Examiner: To Be Assigned  
Title: WHITE LED HEADLIGHT  
Attorney Docket: SCH-00059-US2

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Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION FOR ENTERING AN OATH OR DECLARATION WHEN  
THE INVENTOR REFUSES TO SIGN UNDER 37 C.F.R. § 1.47(A)**

12/19/2005 ATRAN1 00000051 10521286  
02 FC:1464 130.00 OP  
Sir:

This is a petition for filing of an oath or declaration on behalf of an inventor that refuses to sign for the above-referenced patent application. It is respectfully requested that the U.S. Patent and Trademark Office enter the accompanying oath or declaration of co-inventor Kyle P. Lucas on behalf of the non-signing inventor Ronald L. Steen.

Pursuant to 37 C.F.R. Section 1.47(a), if a joint inventor refuses to join in an application for patent the application may be made by the other inventor on behalf of himself or herself and a non-signing inventor provided; the oath or declaration is accompanied by;

- (1) A petition including proof of pertinent facts;
- (2) The last known address of the non-signing inventor;
- (3) The fee set forth in 37 C.F.R. Section 1.17(h) (i.e., \$130.00).

With regard to the first requirement of proof of pertinent facts, the Applicant's representative, Phil Warn, respectfully submits that:

- (1) On August 23, 2005 a letter was sent to Mr. Ronald L. Steen and Mr. Kyle P. Lucas along with a copy of the application, drawings and oath or declaration. See Tab A of the attached declaration. The letter requested that the inventors sign and return the Declaration and Power of Attorney and Assignment for the referenced application.
- (2) A signed copy of the Declaration and Power of Attorney and Assignment was received from Mr. Lucas, but not from Mr. Steen.
- (3) On October 28, 2005 a second letter to Mr. Steen was sent with a copy of the application, drawings and oath or declaration. See Tab A of the attached declaration. The letter requested him to sign and return the oath or declaration. The documents were sent via Certified Mail, and was received and signed by a Lynn M. Steen on November 3, 2005. The return receipt had shown that Mr. Steen had relocated and that the package was received at his new address. Tab A.
- (4) On November 30, 2005 a third request letter was sent to Mr. Steen at his new residency via Certified Mail. A return postcard was received showing a signature from Lynn M. Steen on December 5, 2005. See Tab A of the attached declaration

- (5) An Internet search was done to try and find a phone number, to contact Mr. Steen, but there were no listings for him. See Tab B of the Attached declaration.

The above statement of facts are also reiterated in the attached declaration of Philip R. Warn who is one of the attorneys assigned to prosecute this case.

With regard to the second requirement, Applicant submits that Mr. Steen's last known address was 596 Oxford Lane, Lindenhurst, IL 60046.

With regard to the third requirement of the 37 C.F. R. Section 1.47(a), the Applicant has included a check in the amount of \$710.00 (\$130.00 for late oath or declaration surcharge, \$130.00 for the petition and \$450.00 for a 2 month extension of time) the Applicant hereby authorizes the Commissioner to charge any underpayment or credit associated with this petition to Deposit Account No. 501916 (Warn, Hoffmann, Miller & LaLone, P.C.). Also enclosed is the signed declaration of co inventor Kyle P. Lucas.


The undersigned attorney submits that all of the requirements of 37 C.F.R. Section 1.47(a) have been fulfilled and respectfully requests that the U.S. Patent and Trademark Office accept the enclosed oath or declaration signed by co-inventor Kyle P. Lucas on behalf of himself and the uncooperative inventor Ronald L. Steen.

Respectfully submitted,

WARN, HOFFMANN, MILLER & LALONE P.C.

Dated: Dec 12, 2005

P.O. Box 70098  
Rochester Hills, MI 48307

By:   
Philip R. Warn  
Reg. No. 32775

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/521,286  
Filing Date: January 14, 2005  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**DECLARATION UNDER 37 CFR §1.47(a)**

Sir or Madam:

I Philip R. Warn hereby declare that:

(1) One of the above referenced inventors, Ronald L. Steen, was formerly an employee of Schefenacker Vision Systems USA.

(2) During the course of his employment, Ronald L. Steen was under an obligation to assign all inventions made during his employment to Schefenacker Vision Systems USA; this obligation includes the invention described in the application entitled "WHITE LED HEADLIGHT" which was made during the course of Mr. Steen's employment.

(3) Mr. Steen has since left Schefenacker Vision Systems USA.

(4) I have attempted to procure Mr. Steen's signature on the Oath or Declaration and have been unable to do so.

(5) The first attempt was made on August 23, 2005 where I mailed a copy of the Application papers (specification including claims, drawings, and Oath or Declaration) to Mr. Steen at his former address of 7828 Brookpines Drive, Clarkston, MI 48348.

(6) On October 28, 2005 I sent a second letter via certified mail to Mr. Steen requesting that he again sign the papers and send them back to us. Another copy of the Application papers (specification including claims, drawings, and Oath or Declaration) was included with this follow-up letter. The certified mail postcard came back indicating that Mr. Steen had moved and that the Application papers (specification including claims, drawings, and Oath or Declaration) was forwarded on to his new address at 596 Oxford Lane, Lindenhurst, IL 60046. (See **Tab A** - copy of original letter sent, and follow up letter with return receipt postcard)

(7) The return receipt postcard indicated that the Application papers (specification including claims, drawings, and Oath or Declaration) had been delivered to Mr. Steen at his new address since it was signed as being received.

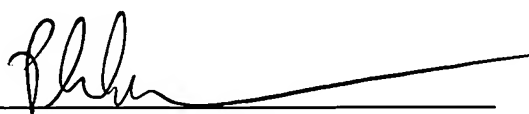
(8) On November 30, 2005 a third letter was sent, this one also via certified mail to Mr. Steen requesting that he again sign the papers and send them back to us. Once again we received receipt via the return receipt postcard that the letter had been delivered to Mr. Steen's address on December 5, 2005. (See **Tab A** - copy of letter sent and return receipt)

(9) In addition, I attempted to do an internet search (See **Tab B**) to try and locate a telephone number for Mr. Steen so that I could personally contact him. The internet search revealed that there is no listed number for Mr. Steen.

(10) Based upon the above facts it appears that Mr. Steen is unwilling to sign the Oath or Declaration.

I hereby declare that all statements made herein are of my own knowledge, are true, and that all statements on information and believe are believed to be true; and further, that these statements were made with the knowledge that willful false statements and like so made are punishable by fine or imprisonment, or both, under § 1001 of title 18 of the United States Code and as such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: Dec 12, 2005

By:   
Philip R. Warn

Warn, Hoffmann, Miller & LaLone, P.C.

TAB A

LAW OFFICES

**WARN, HOFFMANN, MILLER & LALONE, P.C.**

Intellectual Property Matters  
PATENTS, TRADEMARKS AND COPYRIGHTS

Richard W. Hoffmann  
Douglas P. LaLone  
John A. Miller  
Gregory L. Ozga  
Preston H. Smirman  
Philip R. Warn

691 North Squirrel Road – Suite 140  
Auburn Hills, Michigan 48326

[www.warnhoffmann.com](http://www.warnhoffmann.com)

Telephone: (248) 364-4300  
Facsimile: (248) 364-4285

August 23, 2005

Mr. Ronald L. Steen  
7828 Brookpines Drive  
Clarkston, MI 48348

Mr. Kyle P. Lucas  
350 North Rochester Road, Apt. #103  
Clawson, MI 48017

Re: U.S. Patent Application  
Serial No. 10/521,285 - Filed: January 14, 2005  
Inventor(s): Ronald L. Steen & Kyle P. Lucas  
Title: WHITE LED HEADLIGHT  
Your Reference: LIP-02-002  
Our File No. SCH-00059-US2

Dear Ron & Kyle:

We now need to submit a Declaration & Power of Attorney and Assignment for the above-referenced patent application (a copy of which is enclosed for your reference). Therefore, please sign the enclosed documents where indicated. Also, please note that this Assignment must be signed in the presence of a Notary Public and notarized.

Once the enclosed documents have been signed, please return the originally executed documents to me for filing in the U.S. Patent and Trademark Office before the due date of **September 27, 2005.**

Thank you very much for your help in this regard. If you have any questions, please feel free to contact me.

Very truly yours,

Philip R. Warn

PRW:slm  
Enclosures



O      LAW OFFICES      O

**WARN, HOFFMANN, MILLER & LALONE, P.C.**

Intellectual Property Matters  
PATENTS, TRADEMARKS AND COPYRIGHTS

Richard W. Hoffmann  
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691 North Squirrel Road – Suite 140  
Auburn Hills, Michigan 48326

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Telephone: (248) 364-4300  
Facsimile: (248) 364-4285

~~August 23, 2005~~

October 28, 2005

Mr. Ronald L. Steen  
7828 Brookpines Drive  
Clarkston, MI 48348

Mr. Kyle P. Lucas  
350 North Rochester Road, Apt. #103  
Clawson, MI 48017

Re: U.S. Patent Application  
Serial No. 10/521,285 - Filed: January 14, 2005  
Inventor(s): Ronald L. Steen & Kyle P. Lucas  
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Your Reference: LIP-02-002  
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Once the enclosed documents have been signed, please return the originally executed documents to me for filing in the U.S. Patent and Trademark Office before the due date of September 27, 2005. (Now with Extensions)

Thank you very much for your help in this regard. If you have any questions, please feel free to contact me.

Very truly yours,

Philip R. Warn

PRW:slm  
Enclosures

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

## 1. Article Addressed to:

Ronald L. Steen  
7828 Brookhines Dr  
Clarkston, MI 48018

## 2. Article Number

(Transfer from service label)

**COMPLETE THIS SECTION ON DELIVERY**

A. Received by (Please Print Clearly)

B. Date of Delivery

11/3/05

C. Signature

X

☐ Agent  
☐ AddresseeD. Is delivery address different from item 1? ☒ YesIf YES, enter delivery address below: ☐ No

596 OXFORD LN.  
LINDENHURST IL 60046

## 3. Service Type

☒ Certified Mail ☐ Express Mail  
☐ Registered ☒ Return Receipt for Merchandise  
☐ Insured Mail ☐ C.O.D.

## 4. Restricted Delivery? (Extra Fee)

☐ Yes

PS Form 3811, March 2001

Domestic Return Receipt

102595-01-M-1424

LAW OFFICES

**WARN, HOFFMANN, MILLER & LALONE, P.C.**

Intellectual Property Matters  
PATENTS, TRADEMARKS AND COPYRIGHTS

Richard W. Hoffmann  
Douglas P. LaLone  
John A. Miller  
Gregory L. Ozga  
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691 North Squirrel Road – Suite 140  
Auburn Hills, Michigan 48326

[www.warnhoffmann.com](http://www.warnhoffmann.com)

Telephone: (248) 364-4300  
Facsimile: (248) 364-4285

~~August 23, 2005~~

*November 30, 2005*

Mr. Ronald L. Steen  
~~7828 Brookpines Drive~~ *596 Oxford Ln.*  
~~Clarkston, MI 48348~~ *Lindenhurst IL 60046*

Mr. Kyle P. Lucas  
350 North Rochester Road, Apt. #103  
Clawson, MI 48017

Re: U.S. Patent Application  
Serial No. 10/521,285 - Filed: January 14, 2005  
Inventor(s): Ronald L. Steen & Kyle P. Lucas  
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Our File No. SCH-00059-US2

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Once the enclosed documents have been signed, please return the originally executed documents to me for filing in the U.S. Patent and Trademark Office before the due date of September 27, 2005. *(Now with 2 month extension)*

Thank you very much for your help in this regard. If you have any questions, please feel free to contact me.

Very truly yours,

Philip R. Warn

PRW:slm  
Enclosures

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- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Ronald L. Steen  
596 Oxford Ln.  
Lindenhurst, IL 60046

2. Article Number

(Transfer from service label)

PS Form 3811, March 2001

**COMPLETE THIS SECTION ON DELIVERY**

A. Received by (Please Print Clearly)

B. Date of Delivery

C. Signature

X

☐ Agent☐ Addressee

D. Is delivery address different from item 1?

☐ Yes

If YES, enter delivery address below:

☐ No

3. Service Type

☒ Certified Mail☐ Express Mail☐ Registered☒ Return Receipt for Merchandise☐ Insured Mail☐ C.O.D.

4. Restricted Delivery? (Extra Fee)

☐ Yes

Domestic Return Receipt

102595-01-M-1424

TAB B

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Search

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